The following flowchart may help one determine whether UH is engaged in a research study.

Yes

Yes

No

Will UH employees

a. inform prospective subjects about the research;

b. provide prospective subjects with information about the research;

c. provide prospective subjects with contact information of the investigators; or

d. seek or obtain the prospective subject's permission for investigators to contact them?

Re-check the above questions or the examples in the SOP.

UH is not engaged.

 UH is engaged.

 UH is engaged.

Yes

Yes

Yes

Yes

Yes

Yes

Yes

Is the investigator a UH investigator (i.e., is the investigator a UH student, faculty or staff member, or member of board of regents?

 UH is engaged.

No

No

Will UH employees perform **services** for the non-UH investigator provided that the services do not merit professional recognition or publication privileges, the services are typically for non-research purposes, and UH employees do not administer study intervention?

UH is not engaged.

Will UH employees release private identifiable information to the non-UH investigator?



Will UH employees **obtain private identifiable information** (e.g., observing or recording private behavior; using, studying, or analyzing for research purposes identifiable private information provided by a non-UH institution; or using, studying, or analyzing for research purposes identifiable private information in the possession of the investigator)?

Note: the list is not exclusive. The key is to distinguish "obtain" and "release."

UH is not engaged.

Will UH employees permit the investigators to use UH facilities for intervention or interaction, to distribute or conduct survey in the classroom, to recruit subjects, or to draw blood for research purposes?

Will UH employees

a. **intervene** for research purposes with human subjects by performing invasive or noninvasive procedures, or manipulating the environment, or

b. **interact** with human subjects for research purposes?

Will UH employees **obtain informed consent** from human subjects for the research?

UH is not engaged.

 UH is engaged.

 UH is engaged.

Does UH receive an award through a grant or contract for the research?